

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
30 October 2003 (30.10.2003)

PCT

(10) International Publication Number  
WO 03/089519 A1

(51) International Patent Classification<sup>7</sup>: C08L 81/06, C08K 5/51, 5/00, G02B 1/04, C08J 5/18

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(21) International Application Number: PCT/US03/11501

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CII, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IL, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CI, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(22) International Filing Date: 15 April 2003 (15.04.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/372,078 15 April 2002 (15.04.2002) US  
60/452,961 10 March 2003 (10.03.2003) US

(71) Applicant (for all designated States except US): SOLVAY ADVANCED POLYMERS, LLC [US/US]; 4500 McGinnis Ferry Road, Alpharetta, GA 30005 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): EL-HIBRI, M., Jamal [LB/US]; 6851 Roswell Road, No. M-18, Atlanta, GA 30328 (US).

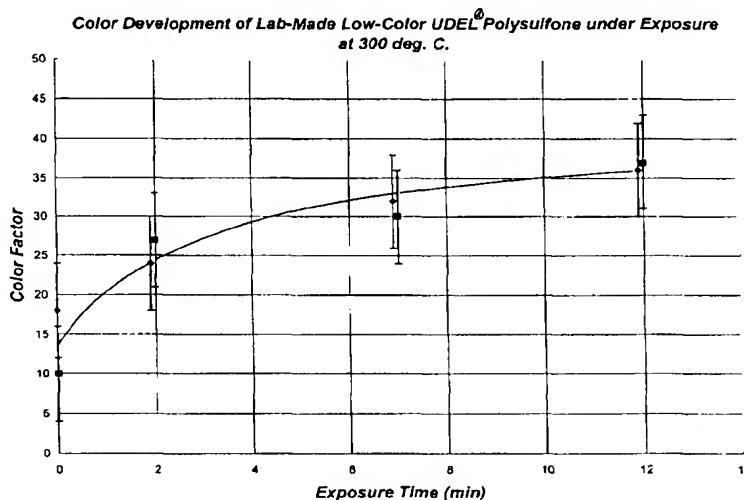
(74) Agents: CODD, Bernard, P. et al.; McDermott, Will & Emery, 600 13th Street, N.W., Washington, DC 20005-3096 (US).

Published:

— with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: POLYSULFONE COMPOSITIONS EXHIBITING VERY LOW COLOR AND HIGH LIGHT TRANSMITTANCE PROPERTIES AND ARTICLES MADE THEREFROM



(57) Abstract: A polysulfone composition is provided having a total luminous light transmittance of 84 % or greater when measured on 0.1 inch thick specimens using ASTM D-1003. The specimens also meet at least one of the following two conditions: 1) a yellowness index (YI) of less than about 5.0 as measured according to ASTM D-1925 on 0.1 inch thick specimens, or 2) a color factor (CF) of less than about 25, wherein CF is defined by the following equation:  $CF = 270[(x+y)_{sample} - (x+y)_{air}]/t$ , wherein x and y are chromaticity coordinates measured in transmittance mode and t is sample thickness in inches. Another polysulfone composition is provided comprising a polysulfone, an organic phosphorous-containing melt stabilizer, and at least one of the following additives: a blue to violet dye, and an organic optical brightener. The polysulfone composition of the present invention is used to form transparent molded articles such as ophthalmic lenses.

WO 03/089519 A1

## **POLYSULFONE COMPOSITIONS EXHIBITING VERY LOW COLOR AND HIGH LIGHT TRANSMITTANCE PROPERTIES AND ARTICLES MADE THEREFROM**

### **CROSS REFERENCE TO PROVISIONAL APPLICATIONS**

This application claims priority from U.S. provisional patent application Serial Nos. 60/372,078; filed April 15, 2002; and 60/452,961; filed March 10, 2003, the entire disclosures of which are incorporated herein by reference.

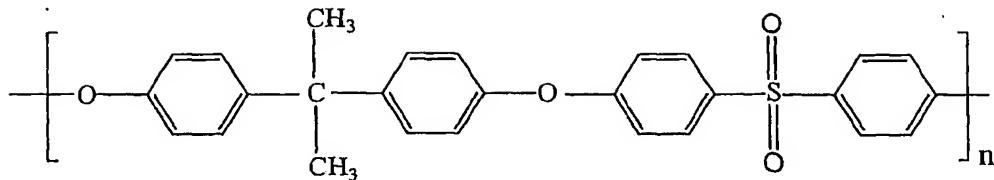
### **TECHNICAL FIELD**

This invention is directed to a polysulfone composition with low yellowness index and high light transmittance and articles made from the polysulfone composition, such as ophthalmic lenses.

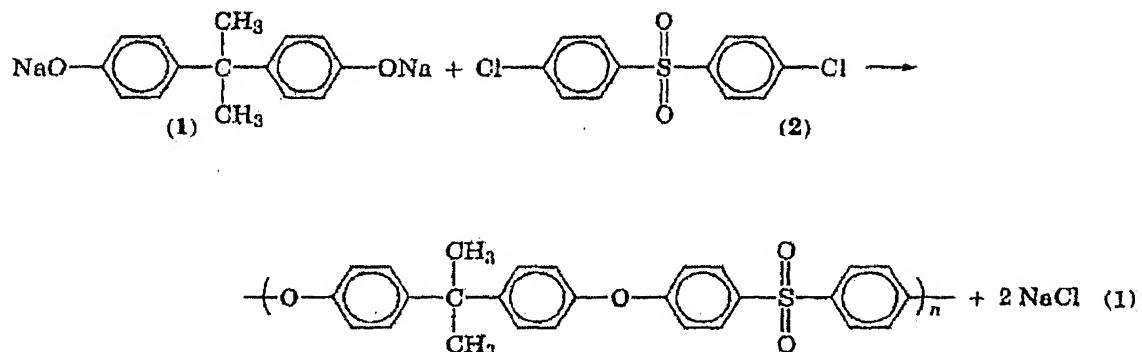
### **BACKGROUND OF THE INVENTION**

Sulfone polymers are high performance amorphous thermoplastic engineering resins that contain the characteristic diaryl sulfone linkage. Sulfone polymers are known for their high mechanical strength, thermal and oxidative resistance, resistance to hydrolysis, and to many acids, bases, and solvents.

Polysulfone is a well-known high temperature amorphous engineering thermoplastic resin. It exhibits a high glass transition temperature of about 185 °C, high strength, stiffness and toughness over a temperature range from about -100 to 150 °C. Being completely amorphous, the polymer also exhibits transparency, which adds to its utility in many end uses. Polysulfone was commercially introduced in 1965 by the Union Carbide Corporation. It has the chemical structure:



The polysulfone shown above, commonly abbreviated as PSU, is probably the most commercially important member of a broad family of aromatic backbone polymers known as polyarylethers. These polymers can be produced by a variety of methods. For example U.S. Pat. Nos. 4,108,837 and 4,175,175 describe the preparation of polyarylethers and in particular polyarylethersulfones. Several one-step and two-step processes are described in these patents, which patents are incorporated herein by reference in their entireties. In these processes, a double alkali metal salt of a dihydric phenol is reacted with a dihalobenzene compound in the presence of sulfone or sulfoxide solvents under substantially anhydrous conditions. In a two-step process, a dihydric phenol is first converted, *in situ*, in the presence of a sulfone or sulfoxide solvent to the alkali metal salt derivative by reaction with an alkali metal or an alkali metal compound. In the case of PSU manufacture, the starting monomers are bisphenol A and a 4,4'-dihalodiphenylsulfone, typically 4,4'-dichlorodiphenylsulfone. The bisphenol A is first converted to the dialkali metal salt derivative by first reacting with a base like sodium hydroxide, NaOH, in a 1:2 stoichiometric molar ratio to produce the disodium salt of bisphenol A. This disodium salt of bisphenol A is then reacted with 4,4'-dichlorodiphenylsulfone in a second step to produce the polymer. Sodium chloride salt is produced as a byproduct of the polymerization.



The salt is filtered, then the polymer solution is either contacted with a non-solvent to precipitate the polymer or, alternatively, the polymer is recovered by evaporative removal of the solvent. In either case, the solvent removal is usually followed by forming of the polymer into pellets in an extruder, preferably a twin screw extruder.

Among the many desirable physical characteristics and attributes of PSU, this polymer is transparent in its natural state. The transparency of polysulfone is useful in combination with its high heat and other high performance attributes. Examples of uses where the transparency is useful include covers and lids for hot serving dishes and containers, lids for medical sterilization trays, research lab animal cages, dairy processing equipment, flow meters and sight glasses for chemical process equipment.

The transparency of PSU, coupled with the high refractive index of the material relative to other transparent thermoplastics (1.63 versus 1.59 for polycarbonate), make polysulfone a candidate for use in lens applications as it can allow the design of higher powered lenses for a given lens thickness and weight, or alternatively design thinner and lighter lenses relative to polycarbonate for a given power or diopter rating. The high refractive index allows lens makers to produce high-powered lenses with relatively low curvature (and hence lower mass) relative to what is possible with lower index materials such as glass, polymethylmethacrylate (PMMA) and conventional thermosetting plastics used for

this purpose. Because of this feature, polysulfone is therefore particularly attractive for use in ophthalmic lenses for spectacles used in prescription eyewear.

In the ophthalmic lens industry a material is considered 'high index' if its refractive index is 1.60 or higher. As such, polysulfone is poised to become the first thermoplastic resin in the high index category. However, polysulfone's entry into the ophthalmic lens industry, and indeed into most other optical applications, has been hampered by the yellowness that, until now, has been present in all commercially available polysulfone to date. Apart from being aesthetically undesirable, the yellow cast also limits the light transmittance that is critical for a high clarity lens for prescription eyewear. Water white or near water white clarity is a key requirement for any lens material, and, to date, the state of the art of polysulfone manufacture has not yet allowed the production of resin with the type of clarity that is needed. Polysulfone has been of interest to the ophthalmic lens industry for a long time as it offers a number of attractive features. In addition to providing a high refractive index polysulfone offers low cost thermoplastic lens fabrication methods (*i.e.* hybrid injection-compression molding). In addition to the reduced lens thickness and weight which are desirable to the consumer, the good impact resistance of polysulfone allows thin lenses to be viable.

For an ophthalmic lens material to be viable, it is generally accepted that it must meet the following three optical property requirements:

1. A low yellowness index, as commonly measured by ASTM method D-1925, is needed. Yellowness index is a thickness dependent property. Yellowness index values below 1.0 are generally desirable, but at minimum, the material must have a yellowness index of 2.0 or less at a thickness of 0.1 inch (2.5 mm). Yellowness

indices below 2.0 are difficult to discern with the naked eye and may be considered of sufficient quality for optical lens uses in general and ophthalmic lenses in particular.

2. A high light transmittance as commonly measured by ASTM method D-1003 is also a key requirement. Light transmittance values greater than 85% are needed as a minimum. Light transmittance is also a thickness dependent property although generally to a lesser extent than yellowness index. It is commonly measured at a thickness of 0.1 inch (2.5 mm), so that if the transmittance requirements are met at 0.1 inch (2.5 mm) thickness, they will be automatically met at reduced thicknesses.

3. A low haze as measured by ASTM method D-1003 is also a requirement. Haze is the ratio of the diffuse light transmittance to the total light transmittance through a specimen expressed as a percent. It generally needs to be below 2.0% and preferably below 1.0% for 0.1 inch (2.5 mm) for a high clarity or optical quality material. Haze values below 2.0% are difficult to discern by the naked eye and thus are acceptable. Like yellowness index and light transmittance, haze is also dependent on specimen thickness, so it is important to compare haze between different materials only at comparable thicknesses and specimen surface characteristics.

Historically, Union Carbide, Amoco, and then Solvay Advanced Polymers, LLC have measured and tracked the color of all sulfone polymers using the internal parameter of color factor (CF). The plastics industry as a whole, on the other hand, uses yellowness index (YI) to quantify color of film and moldings. It is instructive to look first at these two quantities and how they relate to each other.

Yellowness index and color factor are two different quantities from the standpoint of the definition of the parameter. However, for practical purposes, they do correlate very well.

By definition, yellowness index (YI) is calculated from the equation below based on ASTM method D-1925:

$$YI = [100(1.28X - 1.06Z)]/Y$$

where in the equation above, X, Y and Z are the tristimulus transmittance components for red, green and blue lights, respectively, in the CIE system, based on illuminating the sample with a standard light source, such as illuminant C or illuminant D65 according to ASTM method D-1003.

Color Factor (CF), on the other hand, is defined as the following quantity:

$$CF = 270[(x+y)_{sample} - (x+y)_{air}]/t$$

Where x and y are the chromaticity coordinates obtained by normalizing the X and Y tristimulus values. The chromaticity coordinates x and y are calculated by the following equations:

$$x = X/(X+Y+Z)$$

$$y = Y/(X+Y+Z).$$

The variable t is the sample thickness in inches. So, unlike YI, CF is independent of thickness in the thickness range of typical molded components, which is one attractive aspect of the quantity. Color factor is independent of thickness up to about 1 inch thick. The 270 factor is an arbitrarily chosen factor intended primarily to bring the CF values into a convenient range to work with.

As mentioned above, yellowness index, light transmittance and haze are all thickness dependent properties so that thickness needs to be reported along with these measurements. Preferably multiple thicknesses should be measured to show the dependence of these properties on thickness over a practical range of thicknesses.

One of the key technical hurdles to achieving that goal is the elimination of yellowness from the resin, which is typically expressed as a color factor. A color factor target of < 10 has been set for plastic molded parts to be considered of optical quality. This corresponds, in yellowness index terms (ASTM D-1925) to yellowness index of < 1.9 for a 0.1 inch thick sample plaque. The lowest color factors achieved in molded articles of prior art commercially produced polysulfone have been in the 30-40 color factor range and more typically they have been in the 50-70 range. While PSU with solution batch color factors of under 25 has been produced based on improvements in PSU manufacture technology as described for, example, by Schwab et al. in U.S. Pat. No. 4,307,222, which is incorporated herein by reference in its entirety, the ability to produce melt fabricated articles with color factors of less than 25 has not been demonstrated.

To achieve the single-digit color factors needed, technology improvements are necessary in either or both the synthesis-process side and in the stabilization of the pellets supplied to the customers for prevention of additional color generation during melt fabrication into injection molded articles.

While lab batches of polysulfone with color factors under 10 CF can be produced, it is difficult to maintain this low color factor even with the mildest melt processing treatment. This behavior is exemplified by the plot shown in Figure 1. This plot shows the progression of color factor of two polysulfone batches. Samples of the polysulfone powder were heat aged in a melt indexer at 300 °C and various times to monitor the dependence of color factor on 300 °C exposure time. It can be seen from Figure 1 that even after 2 minutes at 300 °C, both polysulfone samples approximately double in color factor, and after 12 minutes the color factor has risen to roughly 3X the original value. This behavior is disconcerting, considering

that 300 °C is at the lower limit of where polysulfone can realistically be melt fabricated by injection molding.

Figure 2 is a graph showing the correspondence between CF and YI for a number of UDEL® lots as measured on plaques 0.1 inch thick. As can be seen from this figure, the relationship between the two variables is essentially a straight line that passes through the origin. For a given color factor, the corresponding yellowness index on a 0.1 inch thickness specimen is closely approximated by multiplying CF by 0.19. The straight line relationship between CF and YI shows how the color factor measurement relates to the more widely used yellowness index parameter.

Yellowness in polysulfone has been believed to be primarily responsible for most of the absorbance over the visible spectrum. Thus, achieving the high transmittance characteristics exhibited by a colorless resin like polycarbonate had been largely equated with removal of yellowness. To assess this hypothesis, polysulfone with different color factors was correlated with the transmittance characteristics at various wavelengths. A family of curves illustrating transmittance dependence on color factor is shown in Figure 3. At incident wavelengths above 540 nm, the transmittance is essentially independent of UDEL® color factor within the color factor range of interest (0 to 60). However, the dependence becomes progressively stronger at shorter and shorter wavelengths and is rather steep at wavelengths in the 400-420 nm range. Since recent experiments have produced polysulfone plaques with color factors in the low twenties, these new low color samples were used in combination with other data to allow extrapolation and prediction of transmittance behavior in the 0-10 target color factor range.

The production of < 10 color factor UDEL® polysulfone in lab glassware is feasible, however, it has not previously been possible to maintain that low color factor through even

the most mild melt processing. It was therefore concluded that a color stabilization package for polysulfone would be necessary if a viable ultra-low color polysulfone that can maintain its color during injection molding into finished optical elements is to be produced.

To develop an ultra-low color/optical quality polysulfone resin, a series of experiments was conducted to screen and optimize an appropriate additive package that would prevent or minimize color development during melt fabrication of the polymer. In studies conducted it was established that color factors under 10 could be achieved for polysulfone as made in the reactor. The color, however, rapidly rises to unacceptable levels when the recovered polymer is exposed to temperatures as low as 300 °C for times as short as 2 minutes. It became clear that a resin stabilization scheme was necessary and a key part of the solution to the polysulfone color problem.

From the extrapolations shown in Figure 3, hypothetical spectral transmittance curves were generated for UDEL® polysulfone having 10 and 0 color factors to see how they contrast against the transmittance curve of general purpose polycarbonate resin (LEXAN® 104 available from General Electric). This spectral transmittance curve comparison is shown in Figure 4.

## SUMMARY OF THE INVENTION

There exists a need in the polymer composition art for a transparent polymer composition that has a high light transmittance. There exists a need in the polymer composition art for a clear, "water white" polysulfone composition. In the thermoplastic molding art, there exists a need for a transparent, high-light transmittance polysulfone composition with a low yellowness index. There further exists a need in the optical arts for

optical components, such as a high refractive index, lightweight, ophthalmic lens with low yellowness index and high light transmittance.

These and other needs are met by certain embodiments of the present invention, which provide a polysulfone composition having a total luminous light transmittance of 84 % or greater when measured on 0.1 inch thick specimens using ASTM D-1003. The specimens also meet at least one of the following two conditions: 1) a yellowness index (YI) of less than about 5.0 as measured according to ASTM D-1925 on 0.1 inch thick specimens, or 2) a color factor (CF) of less than about 25, wherein CF is defined by the following equation:

$$CF = 270[(x+y)_{sample} - (x+y)_{air}]/t$$

wherein x and y are chromaticity coordinates measured in transmittance mode and t is sample thickness in inches.

The earlier stated needs are also met by certain embodiments of the present invention which provide melt fabricated, injection molded, compression molded, extruded, blow-molded, hybrid injection-compression molded, or thermoformed articles made from a polysulfone composition having a total luminous light transmittance of 84 % or greater when measured on 0.1 inch thick specimens using ASTM D-1003. The specimens also meet at least one of the following two conditions: 1) a yellowness index (YI) of less than about 5.0 as measured according to ASTM D-1925 on 0.1 inch thick specimens, or 2) a color factor (CF) of less than about 25, wherein CF is defined by the following equation:

$$CF = 270[(x+y)_{sample} - (x+y)_{air}]/t$$

wherein x and y are chromaticity coordinates measured in transmittance mode and t is sample thickness in inches.

The earlier stated needs are also met by certain embodiments of the present invention which provide a polysulfone composition comprising a polysulfone, an organic phosphorous

containing melt stabilizer, and at least one of the following additives: a blue to violet dye and an organic optical brightener.

The earlier stated needs are also met by certain embodiments of the present invention which provide a transparent, molded polysulfone article having a yellowness index less than 1.00.

The earlier stated needs are further met by certain embodiments of the present invention, which provide an optical component, such as an ophthalmic lens having a yellowness index less than 1.00.

In addition, the earlier stated needs are met by certain embodiments of the present invention which provide a transparent layer of polysulfone having a yellowness index of less than 1.00.

Suitable melt stabilization schemes have been identified that inhibit thermally induced color development during processing operations. In certain embodiments of the present invention, the stabilizer Sandostab PEPQ is used at loading levels of about 750 ppm. Trace amounts of a violet to blue dye and/or an optical brightener can also be used to offset, either completely or partly, remaining yellowness in the resin. Color factors as low as 11 with low haze, high transmittance and good overall aesthetics have been achieved on molded plaques based on embodiments of this invention.

The present invention addresses the longstanding limitation of yellowing in polysulfone thereby allowing modified compositions that reach the clarity levels needed for lens applications to be possible for the first time. The present invention enables, for the first time, the production of nearly colorless polysulfone with high light transmittance characteristics over the visible spectrum.

## BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 graphically illustrates the progression of the color factor of polysulfone upon exposure to 300 °C.

FIG. 2 graphically illustrates the correspondence between color factor and yellowness index as measured on 0.1 inch thick plaques.

FIG. 3 graphically illustrates transmittance dependence on color factor as measured on 0.1 inch thick plaques.

FIG. 4 graphically contrasts the transmittance of polysulfone and polycarbonate.

FIG. 5 graphically illustrates the visible transmittance spectra of polysulfone with various color stabilization additives according to the instant invention.

FIG. 6 graphically illustrates the dependence of Yellowness Index on sample plaque thickness.

## DETAILED DESCRIPTION OF THE INVENTION

The present invention enables the production of molded polysulfone articles with improved light transmittance and reduced yellowing. The present invention provides lightweight, high-light transmittance ophthalmic lenses. The present invention allows the high temperature handling and molding of polysulfone without the attendant decrease in light transmittance and increased yellowing observed in prior art polysulfone compositions. These benefits are provided by a polysulfone composition comprising small amounts of additives selected from organic phosphorous-containing melt stabilizers, optical brighteners, and blue to violet dyes.

This invention will be described in conjunction with specific examples of polysulfone compositions. However, these are exemplary only, as the claimed invention is not limited to the specific examples described herein.

This invention relates to bisphenol A polysulfone compositions that are very low in color and yellowness and high in light transmittance over the visible spectrum. Color factors below 20 have been achieved as compared to color factors in the 30-40 range being possible previously from commercially available polysulfone. At color factors below about 20 (yellowness index less than about 4.0 at a 0.10" sample thickness) a resin becomes a candidate for use in optical components. Certain embodiments of this invention comprise bisphenol A polysulfone to which is added 30 to 3000 ppm of an organic phosphite and/or organic phosphonite, and, additionally contains either

1. 0.5 to 500 ppm of an optical brightener, or
2. 0.1 to 100 ppm of one or more blue to violet dyes or a combination of (1) and (2) above. The amount of the additives is based on the total weight of the composition.

Certain polysulfone compositions of the instant invention comprise 100 to 1000 ppm of the organic phosphite and/or organic phosphonite, and, additionally contain either:

1. 5 to 50 ppm of an optical brightener, or
2. 1 to 10 ppm of one or more of the blue to violet dyes, or a combination of the optical brightener and the blue to violet dyes.

Additional embodiments of the present invention consist of a polysulfone and an organic phosphite and/or organic phosphonite, and, additionally either :

1. 5 to 50 ppm of an optical brightener, or
2. 1 to 10 ppm of one or more of the blue to violet dyes, or a combination of the optical brightener and the blue to violet dyes.

Polysulfones used in the practice of this invention can be of any practical molecular weight to afford melt processability and good physical and mechanical properties. In certain embodiments of the present invention, the number average molecular weight for the PSU is greater than 13,000 g/mole. In other embodiments of the present invention the number average molecular weight is greater than 15,000 g/mole as measured by gel permeation chromatography using methylene chloride as the solvent and polystyrene calibration standards. In order to allow for melt processing at temperatures as low as possible it is also desirable for the resins of this invention to have melt flow rates of at least 7 g/10 min as measured according to ASTM method D-1238 at a temperature of 343 °C and a load of 2.16 kg. In certain embodiments of the present invention, the melt flow rate of the PSU will be at least 10 g/10 min and in other certain embodiments, at least 15 g/10 min at the conditions stated.

Compositions comprising copolymers of polysulfone fall within the scope of the invention. These include copolymers in which the bisphenol portion of the reactants in the condensation polymerization is comprised of at least 75 mole % bisphenol A and up to 25% of (an)other bisphenol(s), such as bisphenol S (4,4'-dihydroxydiphenylsulfone), bisphenol O (4,4'-dihydroxydiphenylether), biphenol (4,4'-dihydroxydiphenyl), or hydroquinone ( $C_6H_4(OH)_2$ ).

The organic phosphorous-containing melt stabilizers suitable for use in the practice of this invention can be from the phosphite or phosphonite family or mixtures thereof. Suitable phosphites that include mono and dialkyl substituted aromatic phosphites. In certain embodiments of the present invention the phosphites are di-t-butyl substituted aromatic phosphites, such as tris(2,4-di-t-butyl-phenyl) phosphite. In other certain embodiments of the present invention suitable phosphites include those containing the pentaerythritol moiety.

These include compounds such as: bis(2,4-di-t-butylphenyl)pentaerythritol diphosphite, distearyl pentaerythritol diphosphite, and bis(2,4-dicumylphenyl) pentaerythritol diphosphite. Aromatic phosphonites are also suitable in certain embodiments of this invention, particularly aromatic mono and diphosphonites. A particularly suitable phosphonite is tetrakis(2,4-di-tert-butylphenyl)[1,1-biphenyl]-4,4'-diylbisphosphonite. In certain embodiments of this invention this phosphonite is used in combination with a phosphite from the above described phosphites. In certain embodiments of this invention the phosphonite used in combination with the phosphonite is tris(2,4-t-butylphenyl)phosphite. In such mixtures the phosphonite is preferred to be the major component and the phosphite the minor one. A stabilizer composition which fits this description is sold commercially under the trademark Sandostab PEPQ<sup>TM</sup>. Sandostab PEPQ<sup>TM</sup> has been found to be particularly well-suited for use in the practice of this invention.

Prior to this invention, polysulfones with the lowest color factors available commercially have been in the 30-40 color factor range and more typically they have been in the 50-70 range. This invention introduces polysulfone color factors approaching 10, making polysulfone a serious candidate in optical lens applications for the first time in its history.

#### Controls C1 and C2 and Examples 1-7

A first set of experiments examined the potential benefits of eight additive system scenarios on inhibiting polysulfone color development during melt processing. In each case, the additives were compounded using a 25 mm Berstorff twin screw extruder at a melt temperature of about 325 °C. Various compositions according to the present invention are listed in Table 1. The stabilized samples were compared for their optical properties with a virgin control and a 'blank' extruded control to separate the effect of the compounding heat history from the role played by the additives. After compounding, the samples were injection

molded (at about 325 °C melt temperature) to produce 0.10" color plaques which were tested for color factor, yellowness index, haze, and luminous light transmittance, which according to ASTM D-1003 is the same as the Y component of the tristimulus values.

**Table 1**

Compositions of Controls C1 and C2, Comparative Examples C3-C6 and Examples 1-3

Example	Compositions
C1	100 % UDEL® P-3703 polysulfone (virgin resin, no compounding)
C2	100 % UDEL® P-3703 (extruded control)
C3	0.075 % Weston 618™ and balance UDEL® P-3703 NT
C4	0.075 % Sandostab PEPQ™ and balance UDEL® P-3703 NT
C5	0.075 % Irgafos™ 168 and balance UDEL® P-3703 NT
C6	0.015 % HP-136™ and balance UDEL® P-3703 NT
1	0.075 % Weston 618™, 0.015% HP-136, and balance UDEL® P-3703 NT
2	0.075 % Sandostab PEPQ™, 0.015% HP-136, and balance UDEL® P-3703 NT
3	0.075 % Irgafos 168™, 0.015% HP-136™, and balance UDEL® P-3703 NT

The basis for all the above compositions is weight percent based on the total weight of the composition. Weston 618™ is a pentaerythritol based phosphite available from General Electric Specialty Chemicals, Inc. PEPQ™ is a mixture of a phosphite and phosphonites available from Clariant Corp. Irgafos 168™ is a phosphite available from Ciba Specialty Chemicals, Inc. HP-136™ is a lactone-based melt stabilizer available from Ciba Specialty Chemicals, Inc. The structure of Weston 618™, Sandostab PEPQ™, Irgafos 168™, and HP-136™ are shown in Tables 2 and 3. The above compositions were compounded on a Berstorff 25 mm twin screw extruder using mild conditions (melt temperature ~ 325°C). They were molded into 0.1 inch (2.5 mm) thick color plaques also using mild melt

temperature of ~ 325°C. Details of the experiments and results are presented below. Other organic phosphites and/or phosphonites can also be used in the practice of this invention, as would be clear to one of ordinary skill in this art. Other suitable organic phosphites include Dover Chemical's Doverphos S-9228™.

**Table 2**

Phosphites and phosphonites used in embodiments of the invention.

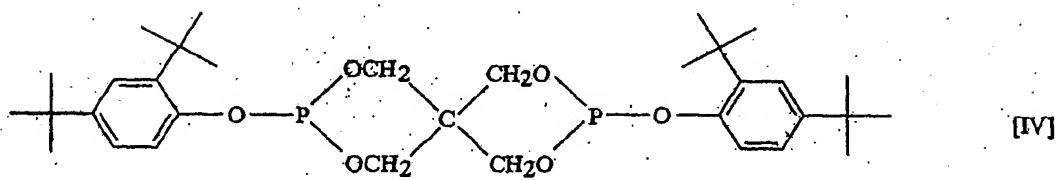
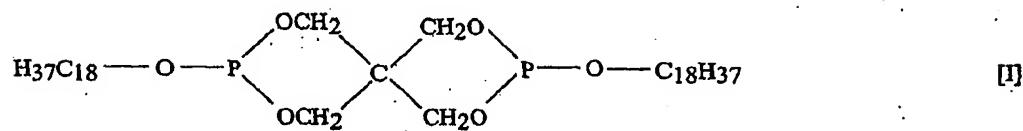
Trade Name	Chemical Name	Supplier	Chemical Structure
Weston 618™	Distearyl pentaerythritol diphosphite	GE Specialty Chemicals, Inc.	I
Sandostab PEPQ™	Mixture of three phosphonites and one phosphite	Clariant Corp.	II
Irgafos 168™	Tris(2,4-di-t-butyl phenyl) phosphite	Ciba Specialty Chemicals, Inc.	III
Ultrinox 626™	Bis(2,4-di-t-butyl phenyl) pentaerythritol diphosphite	GE Specialty Chemicals, Inc.	IV
Weston TLP™	Trilauryl phosphite	GE Specialty Chemicals, Inc.	V

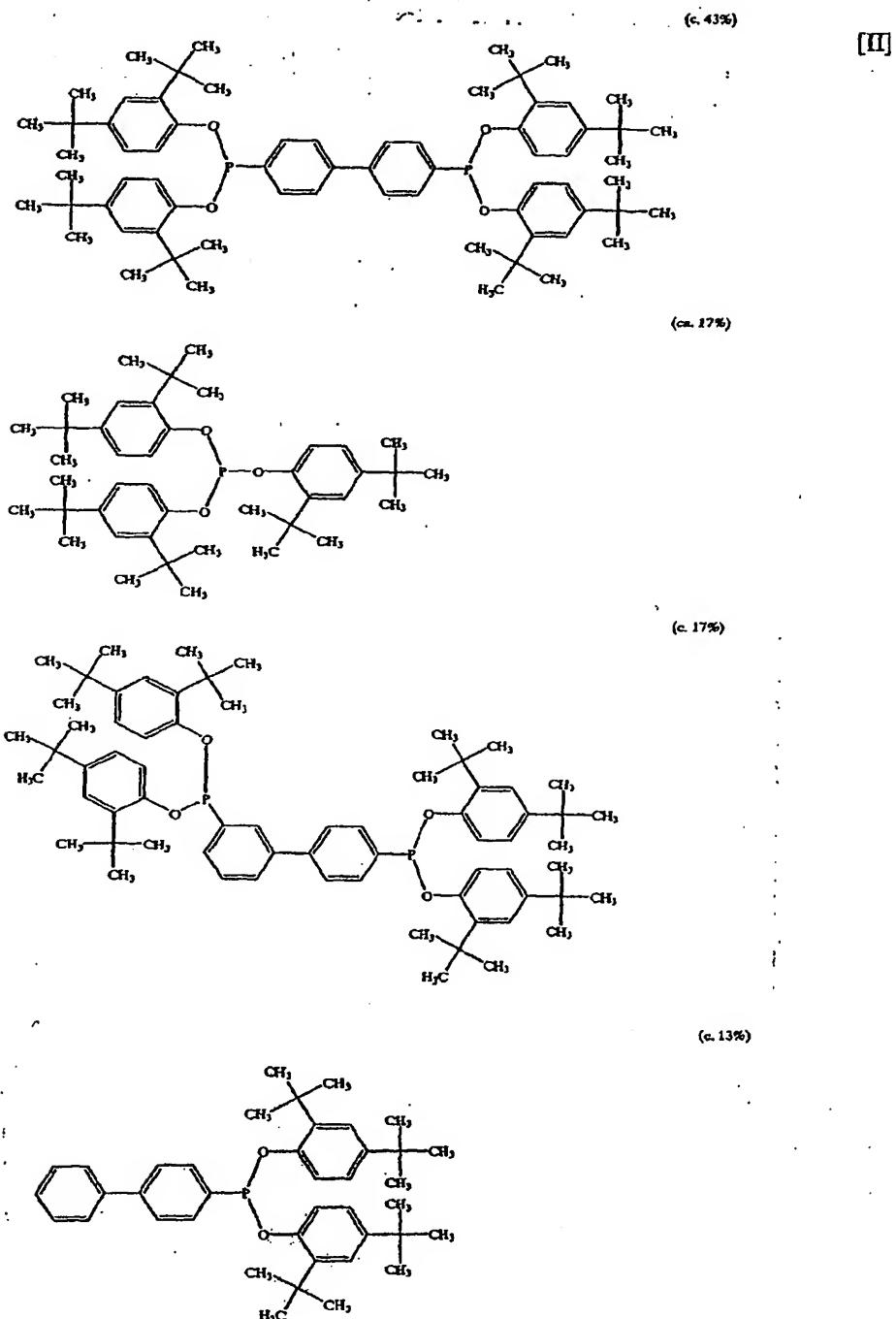
**Table 3**

Other additives used in embodiments of the invention.

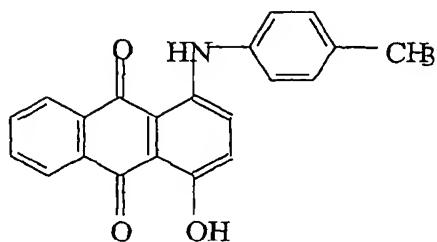
Trade Name	Chemical Name	Supplier	Chemical Structure
Calco Oil Violet ZIRS™	1-hydroxy-4-{(4-methylphenyl)amino)-9,10-anthracenedione	BASF	VI
Eastobrite OB-1™	2,2'-(1,2-Ethenediyl)-4,1-phenylene)bisbenzoxazole	Eastman Chemical Co.	VII
Eastobrite OB-3™	2,2'-(1,2-Ethenediyl)-4,1-phenylene)bisbenzoxazole	Eastman Chemical Co.	VII
HP-136™	5,7-di-t-butyl-3-(3,4 di-methylphenyl)-3H-benzofuran-2-one	Ciba Specialty Chemicals, Inc.	VIII

## Chemical Structures of Phosphites and Phosphonites listed in Table 2

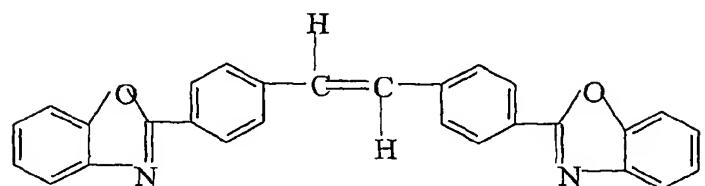


Structure and composition of PEPQ<sup>TM</sup>.

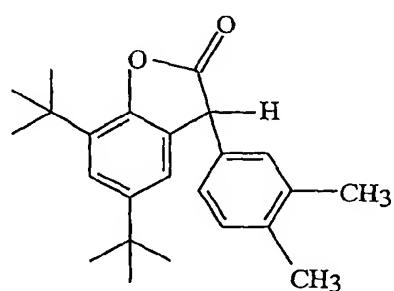
The chemical structure of the dye, optical brighteners and HP-136<sup>TM</sup> stabilizer listed in Table 3.



[VI]



[VII]



[VIII]

**Table 4**  
Test Methods

Test	Method	Description/Conditions
Yellowness Index	ASTM D-1925	Nominal sample thickness = 0.1 in.
Light Transmittance	ASTM D-1003	Nominal sample thickness = 0.1 in.
Haze	ASTM D-1003	Nominal sample thickness = 0.1 in; A spectrophotometer was used rather than a haze meter.
Color Factor	Test Method 1	See Test Method 1 description.
Melt Stability Viscosity Ratio, VR <sub>40</sub>	Test Method 2	See Test Method 2 description.

Test Methods:

1. Color Factor (CF) is determined by first performing measurements to obtain the three tristimulus values X, Y and Z in transmittance mode. Then the normalized stimuli x and y are calculated for the sample according to  $x = X/(X+Y+Z)$  and  $y = Y/(X+Y+Z)$  and they are also determined for the reference (air). The color factor is then calculated using the equation:  $CF = 270[(x+y)_{sample} - (x+y)_{air}]/t$ , where t is sample thickness in inches. Illuminant C and an observer angle of 2 degrees are used for the generation of the X, Y and Z values.
2. Melt stability viscosity ratio, VR<sub>40</sub>, is a measure of polymer melt stability under conditions that simulate a thermally abusive melt fabrication condition. The test involves measuring the melt viscosity of the material at 410 °C and a shear rate of 50 reciprocal seconds over a period of 40 minutes. The viscosity at 40 minutes is divided by the viscosity at 10 minutes to provide the 40-minute/10-minute viscosity ratio, VR<sub>40</sub> and the initial (10-minute) viscosity, Vis-10 is also reported in poise as part of the test results. A VR<sub>40</sub> of 1.0 represents a material that exhibits ideal behavior from the standpoint of its rheological stability in melt processing. Generally VR<sub>40</sub>

values between 0.5 and 2.0 are considered representative of a stable material. VR<sub>40</sub> values between 0.75 and 1.5 are considered representative of very stable material.

**Table 5**

Test results for Controls C1 and C2, Comparative Examples C3-C6 and Examples 1-3

Testing Information:	Examples			
	C1	C2	C3	C4
Color factor on 0.1" plaque	58.6	94.2	70.5	55.6
Yellowness Index on 0.1" plaque	10.98	17.32	13.31	10.5
X	79.7	76.81	78.71	79.74
Y [Light Transmittance] (%)	84.32	81.3	83.28	84.36
Z	81.89	74.48	79.33	82.38
Haze (%)	4.36	4.84	4.44	5.62
VR <sub>40</sub>	0.95	0.79	1.14	1.25

Testing Information:	Examples				
	C5	C6	1	2	3
Color factor on 0.1" plaque	79.4	85.3	59.7	64.8	76.4
Yellowness Index on 0.1" plaque	14.72	15.72	11.23	12.02	14.13
X	78.07	77.5	79.15	78.95	78.61
Y [Light Transmittance] (%)	82.62	82.12	83.77	83.62	83.29
Z	77.59	76.01	81.2	80.31	78.65
Haze (%)	4.36	4.52	5.88	4.78	4.45

The above compositions were compounded in 15 pound lots. The compositions were compounded at a temperature profile so that a melt temperature of about 325 °C was achieved. The conditions were kept as near constant as possible for all runs. The compositions were run at a throughput rate of about 30 lb/hr and an RPM of about 200. The temperature settings of the extruder are listed in Table 6.

**Table 6**

Barrel zone temperature settings and actual temperatures used in compounding examples C2-C6 and 1-3.

ZONE	Set Point (°C)	Actual Temperature (°C)
Barrel 1	283	282
Barrel 2	289	289
Barrel 3	289	288
Barrel 4	292	292
Barrel 5	276	280
Barrel 6	281	281
Barrel 7	275	290
Die	274	274

About 10 plaques of each composition at 0.1" thickness were molded under normal conditions with a melt temperature close to 325 °C and conditions maintained as near identical as possible for all compositions. Injection molding was performed on an Arburg 75 ton machine at a temperature of at least 100 °C, under the conditions listed in Table 7. All the blends were dried at 150 °C for 4 hrs prior to molding.

**Table 7**

Injection Molding Conditions used for preparing samples of examples C1-C6 and 1-3.

Molding Conditions:		
Pressures (psi)	Injection	700
	Hold	300
Screw RPM		200
Temperatures		
Zone		Reading
Rear (°C)		330
Middle (°C)		330
Front (°C)		328
Nozzle (°C)		325
Mold stationary (°F)		290
Mold moving (°F)		300
Melt temperatures taken during molding (°C): 330, 327, 328, 330, 326 and 324		
Timers (sec)	Injection	1.5
	Injector hold	14.0
	Cooling	15.0

Results from this first set of experiments are summarized in Table 5. From the data the following observations were noted: 1) simply compounding the polysulfone resin added about 35 color factor units (or 59%) as compared to the virgin resin. 2) Two phosphite stabilizer options (Weston 618<sup>TM</sup> and PEPQ<sup>TM</sup> each used at 750 ppm) arrested color development during compounding and molding to a major extent, yielding color factors that are lower than that of the virgin control. Light transmittance and yellowness index values track CF data in a predictable manner. Haze results from this experiment showed an increase of about 1 haze unit relative to the extruded control.

#### Controls C7 and C8 and Examples 4-6

In this series of experiments, instead of compounding, the additive(s) employed were dusted onto the pellets by tumble blending vigorously in plastic buckets to achieve a uniform coating on the pellets. The dusted pellets were then molded and optical measurements

performed on the molded plaques. This procedure change was implemented in an effort to remove the color increase associated with the compounding step and allow the lowest color factors possible to be realized.

Direct stabilizer addition to polysulfone during injection molding to avoid the need for a compounding step to incorporate the stabilizer was investigated. This approach reduces the overall heat exposure of the resin and may allow one to more easily achieve the target resin clarity and color in the molded or fabricated article. Since the target level of stabilizer is so low (< 1000 ppm) it is expected that a fine layer of the stabilizer uniformly covering the pellets can be attained without causing loss of the stabilizer or excessive dusting when the mix is handled in solids handling equipment (e.g. at a molder's shop). The efficacy of this approach on low color UDEL®, which has a color factor in the 30s, and the addition of two phosphite stabilizers was investigated.

No compounding was employed for this series. The blending was performed "in situ" in an Arburg 75 ton injection molding machine during the preparation of the color chips. About 5 lb of each of the Examples 4-6 compositions first underwent sufficiently vigorous dry-blending to ensure that the stabilizers, which are powders formed to a fine dust on the surface of the pellets. The dusted pellets were then dried in a desiccated oven at 150 °C for 4 hours and each of the dusted compositions and the controls were molded into 2" x 3" x 0.1" color chips. Mild molding conditions were employed to avoid excessive heating and discoloration in the melt. A melt temperature in the range of about 320-330 °C was used. In certain embodiments of the instant device the melt temperature is set to 325 °C. Back pressure was kept to about 50 psi to avoid excessive working of the resin and a screw speed of about 50 rpm was used. The total cycle time was kept at about 30 seconds or less. This corresponds to an average machine residence time of about 2 minutes.

Optical properties testing was performed on the molded color chips and the test data is presented in Table 9.

**Table 8**

Compositions of Controls C7 and C8 and Examples 4-6.

Components	Examples				
	C7	C8	4	5	6
UDEL® P-3703 NT (wt. %)	100	100 (Virgin control; no molding)	99.925	99.925	99.85
Sandostab PEPQ™ (wt. %)	—	—	0.075	—	0.075
WESTON 618™ (wt. %)	—	—	—	0.075	0.075

In the above examples, UDEL® P-3703 NT polysulfone had a color factor of about 30 before dusting.

The compositions in Table 8 were dusted, dried, then injection molded into color plaques (2" x 3" x 0.10") using an Arburg 75 ton machine and mild processing conditions (melt temp. ~ 325°C). Optical properties were measured on the color plaques and these included the following: color factor, haze, light transmittance, X, Y, Z tristimulus coordinates, yellowness index, and a light transmittance vs. wavelength profile. Results are fully documented herein.

The results from the second set of experiments are shown in Table 9. Again, the beneficial effects of the phosphites on arresting color development during molding were demonstrated. In this case, the color factors of the stabilized samples were in the mid 20's and 10 color factor units below the virgin control. This resulted in UDEL® polysulfone with molded color factors in the 20's, lower than previously obtained. The haze numbers for the stabilized samples were not greater than those of the control.

**Table 9**

Optical properties tested on Control C7 and Examples 4-6.

Testing Information:	Examples				
	C7	4	5	6	C8
Sample Thickness (in)	0.100	0.100	0.101	0.101	---
Color Factor on plaque	36.2	23.8	25.7	23.3	---
Light Transmittance (Y)	86.66	87.26	86.94	87.17	---
X	84.60	85.29	84.98	85.21	---
Z	96.47	99.16	98.42	99.08	---
Yellowness Index [D-1925]	6.75	4.44	4.90	4.43	---
Haze [D-1003] (%)	3.77	4.50	6.98	5.27	---

Controls C9 and C10 and Examples 7-12

Additional fine-tuning and multi-component additive systems were further explored in an attempt to achieve the lowest possible color. Phosphites were combined in combination with either a blue or violet dye or an optical brightener to partly offset the yellowness, which cannot be completely removed with stabilization alone. These experiments are summarized in Table 10. In addition to the composition improvements approach described, additional process improvements in this set of experiments included switching the molding to a short residence time 'mini injection molding machine' (Wasp Mini-jector<sup>TM</sup>) which is maintained in pristine condition in the sulfone polymers R & D lab at Solvay Advanced Polymers, LLC. Another process improvement was the machining of an optical quality finish plaque mold. This 'class A' surface finish mold contributed to reduction in the haze values measured due to the elimination of plaque surface roughness which artificially inflates haze values.

Lower yellowness indices were achieved with the set of experiments shown in Table 10. The addition of PEPQ<sup>TM</sup> at 750 ppm with the improved molding set up described produced a color factor of 21, as in Table 11, which is essentially identical to the pellet solution color factor on the same virgin lot of resin. What this means is that color generation

during the molding process was completely eliminated with use of PEPQ in this experiment and the color factor represented a 33% reduction versus the virgin control case. Two embodiments of the present invention with excellent properties are depicted in the last two entries in Table 11. In one embodiment the PEPQ<sup>TM</sup> was combined with 2 ppm ZIRS Oil Violet<sup>TM</sup>, and in another embodiment, it was combined with 25 ppm of Eastobrite OB-3<sup>TM</sup> optical brightener which is a molecule designed to absorb light in the near UV range and transmit it in the visible. According to their supplier, Eastobrite OB-1<sup>TM</sup> and Eastobrite OB-3<sup>TM</sup> comprise the same optical brightener compound as described in Table 3, however, the OB-3<sup>TM</sup> composition additionally contains a small amount of a blue dye preblended into it by the supplier. These compositions were again prepared using "dusting" as a means of incorporating the additives into the resin as opposed to compounding. This was in an effort to avoid introducing an intermediate heat history to the resin between the virgin pellets and injection molding into 2" x 3" x 0.10" plaques. In both cases color factors were depressed an additional 5 units from 21 to 16 without any significant increase in haze. While the color factors from these two options were identical, the light transmittance profiles were not. This can be seen in Figure 5 where the transmittance curves of the four samples from this experiment are overlaid. The individual data points are also listed as values in Table 12. The ZIRS containing sample exhibits the characteristic absorption band in the 540-640 nm region manifested as a muted depression in the transmittance values in that region. The sample containing the optical brightener, on the other hand, shows high and relatively flat transmittance from 440 to 700 nm, but the transmittance decays sharply at wavelengths shorter than 440 nm. Visually, both samples look very clear and appealing.

Table 10

Compositions of Controls C9 and C10 and Examples 7-12

Compositions	Examples							
	C9	7	8	9	10	11	12	C10
UDEL® P-3703 NT (wt. %)	100	99.925	99.925	99.925	99.925	99.925	99.925	-
SANDOSTAB PEPQ™ (wt. %)	-	0.075	-	-	0.075	0.075	0.075	-
ULTRANOX 626™ (wt. %)	-	-	0.075	-	-	-	-	-
WESTON TLP™ (wt. %)	-	-	-	0.075	-	-	-	-
ZIRS OIL VIOLET™ (ppm)	-	-	-	-	2	-	-	-
EASTOBRITE OB-1™ (ppm)	-	-	-	-	-	25	-	-
EASTOBRITE OB-3™ (ppm)	-	-	-	-	-	-	25	-
GE LEXAN® 104 (wt. %)	-	-	-	-	-	-	-	100

Results of this experiment and additional details are given in Table 11.

Table 11

Optical and melt stability properties for Controls C9 and C10 and Examples 7-12

Testing Information:	C9	7	8	9	10	11	12	C10
Plaque Thickness (in)	0.102	0.106	0.106	0.103	0.104	0.106	0.104	0.115
Color Factor	31.2	20.6	21.4	26.5	15.7	19.6	16.1	3.5
Yellowness Index	5.91	4.00	4.19	5.03	3.03	3.80	2.99	0.66
Light Transmittance, Y	87.67	88.27	87.80	87.68	86.73	88.36	88.28	88.97
X	85.56	86.26	85.83	85.65	84.84	86.30	86.20	87.21
Z	98.25	100.7	100.0	99.09	99.80	100.9	101.4	104.6
Haze (%)	1.77	1.76	6.37	6.05	2.09	2.18	2.05	0.47
VR40 at 410 °C	0.96	0.96	1.06	0.96	1.02	0.97	0.98	-
Vis10 at 410 °C (Poise)	2000	1600	1800	1500	1700	1800	1700	-

About 10 - 2" x 3" x 0.1" color chips were injection molded from each of the above compositions. The compositions comprised pellets that have been dusted with the target levels of each of the powdered additives.

In order to successfully incorporate very small amounts (e.g. ppm levels) of additives into the PSU composition, a master batch of PSU and additive is prepared using a conventional compounding extruder to produce pellets of PSU containing the additives at higher than (e.g. 5-10 times) their desired concentration levels according to this invention. The pellets of the master batch are then tumble blended with virgin PSU pellets and injection molded or extruded or otherwise melt fabricated into their final form. To incorporate the violet dye successfully into the Example 10 composition, a 10 ppm master batch blend was prepared first because that is much easier to prepare/control than a 2 ppm composition. Once the 10 ppm master batch sample was prepared, the amount of dye was reduced down to the level of Example 10 composition using 20 % by weight master batch and the balance polysulfone and whatever was needed to complete the composition including PEPQ<sup>TM</sup>. This approach ensured more homogeneous incorporation of the violet dye into the resin prior to injection molding. It is important that the resins with dusted stabilizer are dried thoroughly in a desiccated oven prior to injection molding. Injection molding procedures similar to those used for molding Examples C2-C7 and Examples 1-6 were used for molding Examples 7-12 and control C9. Control C10 was molded according to commonly known guidelines for injection molding polycarbonate resin.

Eastobrite OB-1 and OB-3<sup>TM</sup> optical brighteners improve the transmittance of the polysulfone compositions. The combination of PEPQ<sup>TM</sup> with ZIRS Oil Violet<sup>TM</sup> or Eastobrite OB-3<sup>TM</sup> causes an additional 5 unit reduction in the color factor (from 21 to 16) without any significant increase in haze. While the color factors of Examples 10 and 12 are about the same, the light transmittance profiles are different. This can be seen in Figure 5 where the transmittance curves of Control C9 and Examples 7, 10, and 12 are overlaid. The individual light transmittance values are recorded in Table 12.

**Table 12**

Light transmittance (%) of Control C9 and Examples 7, 10 and 12 at different wavelengths.

Wavelength (nm)	Examples			
	C9	7	10	12
400	73.9	78.3	77.6	11.4
420	78.4	81.9	81.5	74.3
440	81.7	84.2	83.8	87.0
460	84.3	85.9	85.2	87.2
480	85.2	86.7	86.0	87.6
500	86.2	87.4	86.3	87.8
520	86.7	87.8	86.6	87.9
540	87.3	88.2	86.7	88.2
560	87.9	88.5	86.7	88.3
580	88.1	88.7	86.7	88.3
600	88.1	88.7	87.0	88.4
620	88.6	89.0	87.4	88.5
640	88.6	89.0	87.9	88.7
660	88.6	89.0	88.4	89.0
680	88.8	89.1	88.6	89.1
700	88.7	89.1	88.9	89.2

VR<sub>40</sub> melt stability tests were run to determine to what extent the melt stability of the polysulfone may be jeopardized by the addition of additives employed. The results of the VR<sub>40</sub> melt stability tests were all favorable, as can be seen in Table 11, with very little viscosity change over the 40-minute test duration at 410 °C.

The experiments show that:

1. Stabilization of polysulfone against color development during melt fabrication is important to the development of optical quality polysulfone.
2. The phosphite/phosphonite mixture, PEPQ<sup>TM</sup>, inhibits thermally induced color development in polysulfone. To achieve the color stabilization function without affecting resin haze, melt stability or other properties, a loading level of PEPQ<sup>TM</sup> of about 0.075% by weight (750 ppm) is quite effective. Color factors are typically reduced by 30-35% in the presence of PEPQ<sup>TM</sup> relative to an unmodified resin.

3. The use of a violet dye (ZIRS Oil Violet<sup>TM</sup>) at about 2 ppm was found beneficial in reducing color factor further without jeopardizing light transmittance, haze or other attributes. With the ZIRS dye present, a color factor of 16 was achieved along with light transmittance values > 85% as compared to a CF of 21 when only PEPQ<sup>TM</sup> was added.

Use of an optical brightener, Eastobrite OB-3<sup>TM</sup>, was also found effective in reversing resin yellowness and producing a similar neutralization of the resin's residual yellow cast. A color factor of 16 was also achieved using the combination of PEPQ<sup>TM</sup> at 750 ppm with OB-3 at 25 ppm. The PEPQ<sup>TM</sup>, ZIRS dye and OB-3<sup>TM</sup> can all be used together as a ternary combination, to synergistically reduce the levels required of each of the ZIRS and OB-3 additives. While counteracting yellowness, it was found that the Eastobrite OB-3<sup>TM</sup> optical brightener boosts the transmittance by about 2% in the 440-700 nm wavelength range but causes absorption in the 400-440 nm range.

In addition to the additives required for the practice of this invention, other additives can be incorporated to achieve other targeted performance or processing attributes. These can include but are not limited to: lubricants, mold releases, antistatic agents, UV absorbers, flame retardants, anti-fogging agents and matting agents.

#### Control C11, Comparative Example C12 and Example 13

The following examples illustrate the practice of this invention showing how the incorporation of an organic phosphorous-containing melt stabilizer along with a trace amount of blue to violet dye during the melt fabrication of the material can result in a polysulfone that meets the yellowness index requirements of less than 2.0, or, depending on specimen thickness, less than 1.0, less than 0.5 and even less than 0.25. These yellowness index values are achieved while maintaining acceptably high light transmittance values (> 85%) and haze

values which are also acceptable and less than 2.0%

A sample of commercially available UDEL® P-3703 NT polysulfone resin was taken from a commercial lot and dried for 3 hours in a desiccated oven at 150 °C and then injection molded on an 75 ton Arburg injection molding machine using typical molding conditions for this material into color plaques of different thicknesses. Plaques of four different thicknesses were molded: 0.05, 0.10, 0.125 and 0.20 inches. This sample represents control C11. The sample exhibited a color factor of 47 when measured on 0.1" thick molded plaques. Yellowness index was measured per ASTM method D1925 and the light transmittance and haze values were measured per ASTM method D1003 at each of the thicknesses. The data are summarized in Tables 13-15.

During the production of the above grade of UDEL® P-3703 NT resin described above, the Sandostab PEPQ™ was added to a portion of the resin in a chlorobenzene/polysulfone solution in the proportion of 0.075% based on polymer weight in the solution. The polymer solution was then concentrated in a forced circulation evaporator to remove part of the chlorobenzene solvent, then completely devolatilized into pellets using a vacuum-vented twin-screw extruder. This sample represents comparative example C12. The pellets thus obtained were dried and injection molded as described for Control C11 above to produce color plaques at the four different thicknesses referred to under C11. The color factor was measured on 0.1" thick plaques and a color factor of 24 was recorded, which represents an almost 50% reduction relative to the control. The yellowness index, light transmittance and haze were measured at all four thicknesses and the values are reported in Tables 13-15.

A sample of the UDEL® P-3703 NT polysulfone resin used to produce Control C11 was tumble-blended with 0.075% Sandostab PEPQ™ and 1.6 ppm of Calco Oil Violet

ZIRS™ dye commercially available from BASF. The resulting mixture was dried and injection molded, as described, to produce color plaques of four different thicknesses as explained under Control C11. This sample represents Example 13. The color factor of this composition, as measured on the 0.1" thick plaques, was remarkably found to be 11. This is more than a four-fold reduction relative to the control. The full set of yellowness index, light transmittance and haze data for this example are also shown in Tables 13-15.

As evident from the data presented in Table 13, the yellowness index of the resin can be reduced substantially through the use of the instant invention. The yellowness index is reduced by approximately a factor of two in going from the control case to the case of comparative example C12, and a three to four fold reduction in yellowness index is achieved with the practice of the invention as illustrated in Example 13. The reduction in yellowness index is achieved without any significant loss in light transmittance and without any increase in haze levels, as shown in Tables 13-15. As such, all three aspects of a good optical quality material: low yellowness index, high light transmittance and low haze are achieved simultaneously.

To illustrate the dependence of yellowness index on part thickness, the yellowness index (YI) data from control C11, comparative example C12 and Example 13 are plotted as a function of thickness in Figure 6. The YI data falling at the origin of the plot is also considered to be a requirement and a constraint on the YI-thickness correlation due to the removal of all color absorbing quality in the materials at the limit of vanishing thicknesses. The YI data plotted in Figure 6 show that the YI is related to thickness by a simple straight line relationship. The straight line equations going through the origin for each of the three cases illustrated are listed on the graph in Figure 6. From these straight line proportionality

relationships, interpolated estimates for the thicknesses corresponding to any given YI can be calculated.

The interpolated values of thickness at selected YI levels of interest are shown in Table 16. This table clearly shows the advantage of the material produced in Example 13 over those of C11 and C12 in terms of the minimum thickness that is required to achieve a specified YI value.

**Table 13**

Yellowness index of Control C11, Comparative Example C12 and Example 13.

Thickness (in)	Yellowness Index		
	C11	C12	13
0.05	4.6	2.8	1.6
0.10	9.0	4.5	2.0
0.125	14.7	6.0	2.8
0.20	16.5	7.9	3.8

**Table 14**

Light transmittance of Control C11, Comparative Example C12 and Example 13.

Thickness (in)	Light Transmittance (%)		
	C11	C12	13
0.05	88.1	88.7	87.9
0.10	86.3	88.2	86.1
0.125	85.8	87.9	85.6
0.20	83.4	87.2	83.4

**Table 15**

Haze of Control C11, Comparative Example C12 and Example 13.

<b>Thickness (in)</b>	<b>Haze (%)</b>		
	<b>C11</b>	<b>C12</b>	<b>13</b>
0.05	1.0	0.9	1.0
0.10	1.8	1.6	1.7
0.125	3.9	1.5	1.8
0.20	2.5	2.3	2.7

**Table 16**

Selected interpolated yellowness index values for Control C11, Comparative Example C12 and Example 13 corresponding to various thicknesses

<b>Yellowness Index</b>	<b>Thickness (in)</b>		
	<b>C11</b>	<b>C12</b>	<b>13</b>
2.00	0.022	0.047	0.099
1.00	0.011	0.023	0.049
0.50	0.005	0.012	0.025
0.25	0.003	0.006	0.012

As shown in Figure 6, the yellowness index is linearly related to the thickness of the molded article. As shown in Table 16, transparent, molded polysulfone articles with yellowness indices less than 1.00, 0.75, and 0.50 are included within the scope of this invention. Certain embodiments of the present invention also include molded optical components, such as ophthalmic lenses, with yellowness indices less than 1.00, 0.75, and 0.50. In addition, transparent layers of polysulfone having yellowness indices less than 1.00, 0.75, and 0.50 are included within the scope of this invention. Furthermore, molded polysulfone articles with color factors less than 5, 4, and 3 are included within the scope of this invention. Certain embodiments of the present invention also include molded optical components, such as ophthalmic lenses, with color factors less than 5, 4 and 3. In addition, transparent layers of polysulfone having color factors less than 5, 4, and 3 are included within the scope of this invention.

To improve the properties of the molded polysulfone articles high purity polysulfone is desirable. The use of high purity monomers is beneficial to forming high purity polymers. Polysulfone is conventionally formed by reacting 2,2-bis(4-hydroxyphenyl)propane (also known as bisphenol A) with at least one diarylsulfone compound. Polycarbonate grade bisphenol A is a high purity grade of bisphenol A and is commercially available from several manufacturers. Polycarbonate grade bisphenol A is used to make polysulfone and optical quality polycarbonate. Commercially available polycarbonate grade bisphenol A typically comprises trace amounts isomeric impurities, such as 2-(4-hydroxyphenyl)-2-(2-hydroxyphenyl)propane (the ortho/para isomer) and 2,2-bis(2-hydroxyphenyl)propane (the ortho/ortho isomer). Commercially available polycarbonate grade bisphenol A typically contains less than 0.27 % by total weight of the ortho/para and ortho/ortho isomers. Typically, commercially available polycarbonate grade bisphenol A, contains less than 0.15 % by total weight of the ortho/para and ortho/ortho isomers. Furthermore, polycarbonate grade bisphenol A that contains less than 200 ppm of the ortho/para and ortho/ortho isomers is commercially available.

The embodiments illustrated in the instant disclosure are for illustrative purposes. They should not be construed to limit the scope of the claims. Though ophthalmic lenses and compositions suitable for forming ophthalmic lenses are explicitly disclosed herein, a wide variety of optical components are within the scope of this invention. Optical components within the scope of this invention include non-ophthalmic lenses, prisms, waveguides, optical fibers, lighting fixtures, and electronic devices. As is clear to one of ordinary skill in this art, the instant disclosure encompasses a wide variety of embodiments not specifically illustrated herein.

WHAT IS CLAIMED IS:

1. A polysulfone composition comprising:

a polysulfone;

an organic phosphorous-containing melt stabilizer; and

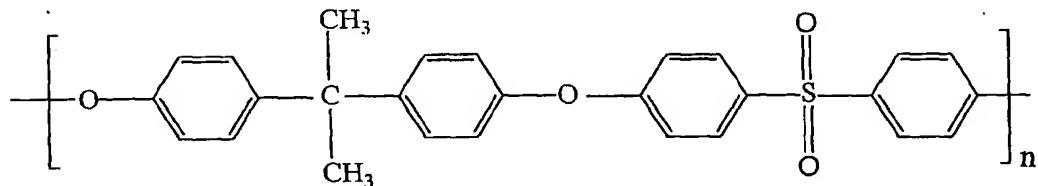
at least one of the following additives:

a blue to violet dye; and an

organic optical brightener.

2. The polysulfone composition according to claim 1, wherein the composition comprises a composition containing from about 30 ppm to about 3000 ppm by weight of an organic phosphorous-containing melt stabilizer selected from the group consisting of phosphites, phosphonites, and mixtures thereof.

3. The polysulfone composition according to claim 1 or 2, wherein the composition is bisphenol A polysulfone with the following structure:



4. The polysulfone composition according to any of claims 1 to 3, wherein the polysulfone is a copolymer comprising at least 75 mole % bisphenol A and up to 25 mole % of another bisphenol selected from the group consisting of bisphenol S, bisphenol O, biphenol, and hydroquinone.

5. A polysulfone composition having a total luminous light transmittance of 84 % or greater when measured on 0.1 inch thick specimens using ASTM D-1003 and also meeting at least one of the following two conditions: 1) a yellowness index (YI) of less than about 5.0 as measured according to ASTM D-1925 on 0.1 inch thick specimens, or 2) a color

factor (CF) of less than about 25, wherein CF is defined by the following equation:

$$CF = 270[(x+y)_{\text{sample}} - (x+y)_{\text{air}}]/t$$

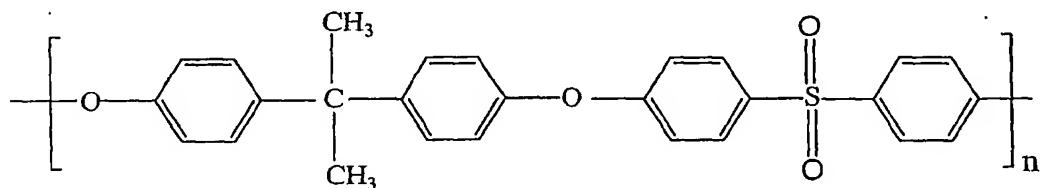
wherein x and y are chromaticity coordinates measured in transmittance mode and t is sample thickness in inches.

6. The polysulfone composition according to claim 5, wherein the composition further comprises an organic optical brightener.

7. The polysulfone composition according to claims 5 or 6, wherein the composition further comprises a violet to blue dye.

8. The polysulfone composition according to any of claims 5 to 7, wherein the composition comprises a composition containing from about 30 ppm to about 3000 ppm by weight of an organic phosphorous-containing melt stabilizer selected from the group consisting of phosphites, phosphonites, and mixtures thereof.

9. The polysulfone composition according to any of claims 5 to 8, wherein the composition is bisphenol A polysulfone with the following structure:



10. The polysulfone composition according to any of claims 5 to 8, wherein the polysulfone is a copolymer comprising at least 75 mole % bisphenol A and up to 25 mole % of another bisphenol selected from the group consisting of bisphenol S, bisphenol O, biphenol, and hydroquinone.

11. A melt fabricated article made from the polysulfone composition according to any of claims 1-10.

12. An injection molded or compression molded article made from the polysulfone composition according to any of claims 1-10.

13. A hybrid injection-compression molded article made from the polysulfone composition according to any of claims 1-10.

14. An extruded article made from the polysulfone composition according to any of claims 1-10.

15. A blow-molded article made from the polysulfone composition according to any of claims 1-10.

16. A thermoformed article made from the polysulfone composition according to any of claims 1-10.

17. A transparent, molded polysulfone article having a yellowness index less than 1.00.

18. The transparent, molded polysulfone article according to claim 17, wherein the yellowness index is less than 0.75.

19. The transparent, molded polysulfone article according to claim 18, wherein the yellowness index is less than 0.50.

20. A transparent, molded polysulfone article having a color factor less than about 5.

21. The transparent, molded polysulfone article according to claim 20, wherein the color factor is less than 4.

22. The transparent, molded polysulfone article according to claim 21, wherein the color factor is less than 3.

23. The transparent, molded article according to any of claims 17 to 22, wherein the molded article is an optical component.

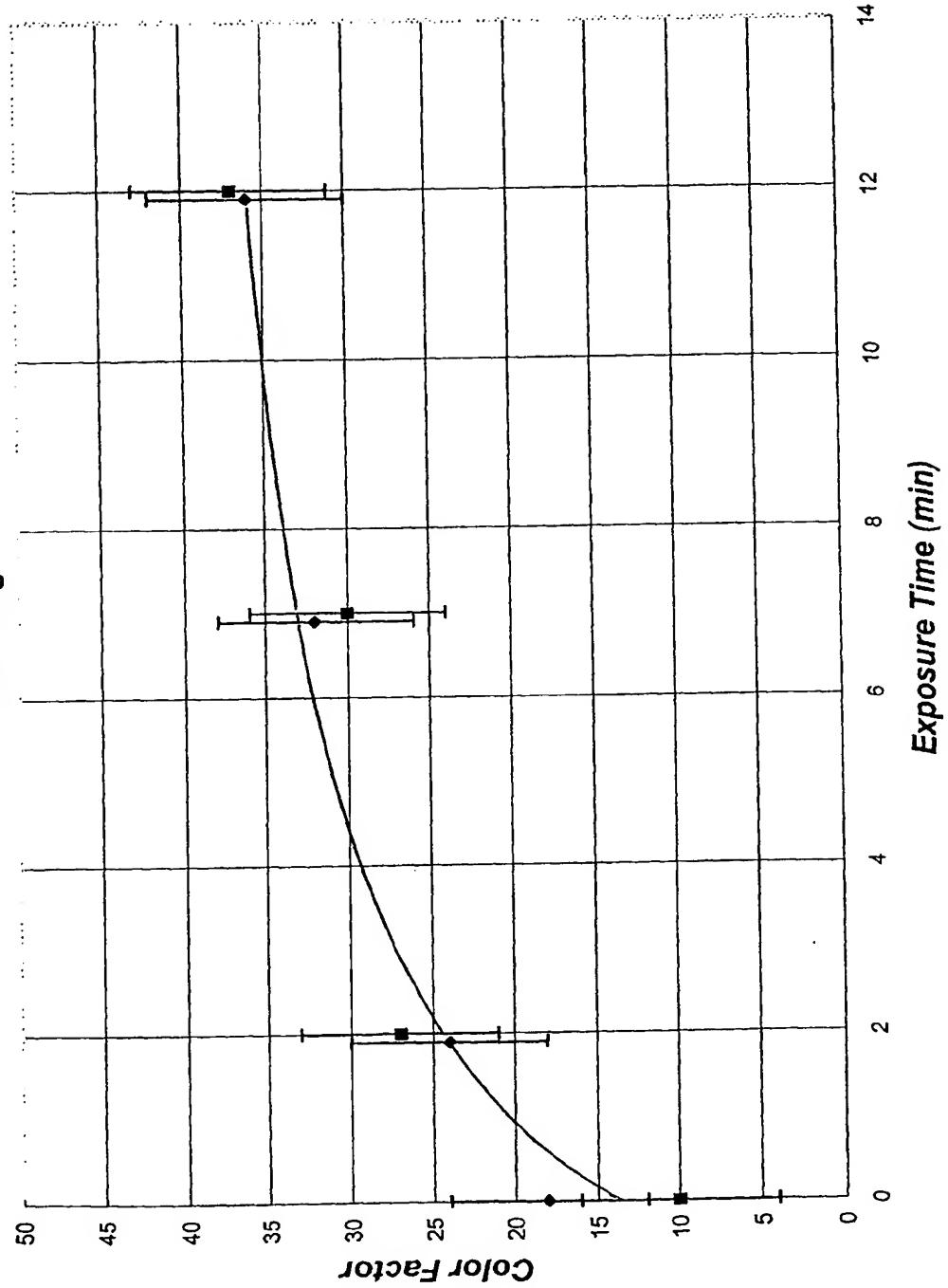
24. The transparent, molded article according to claim 23, wherein the molded article is a lens.

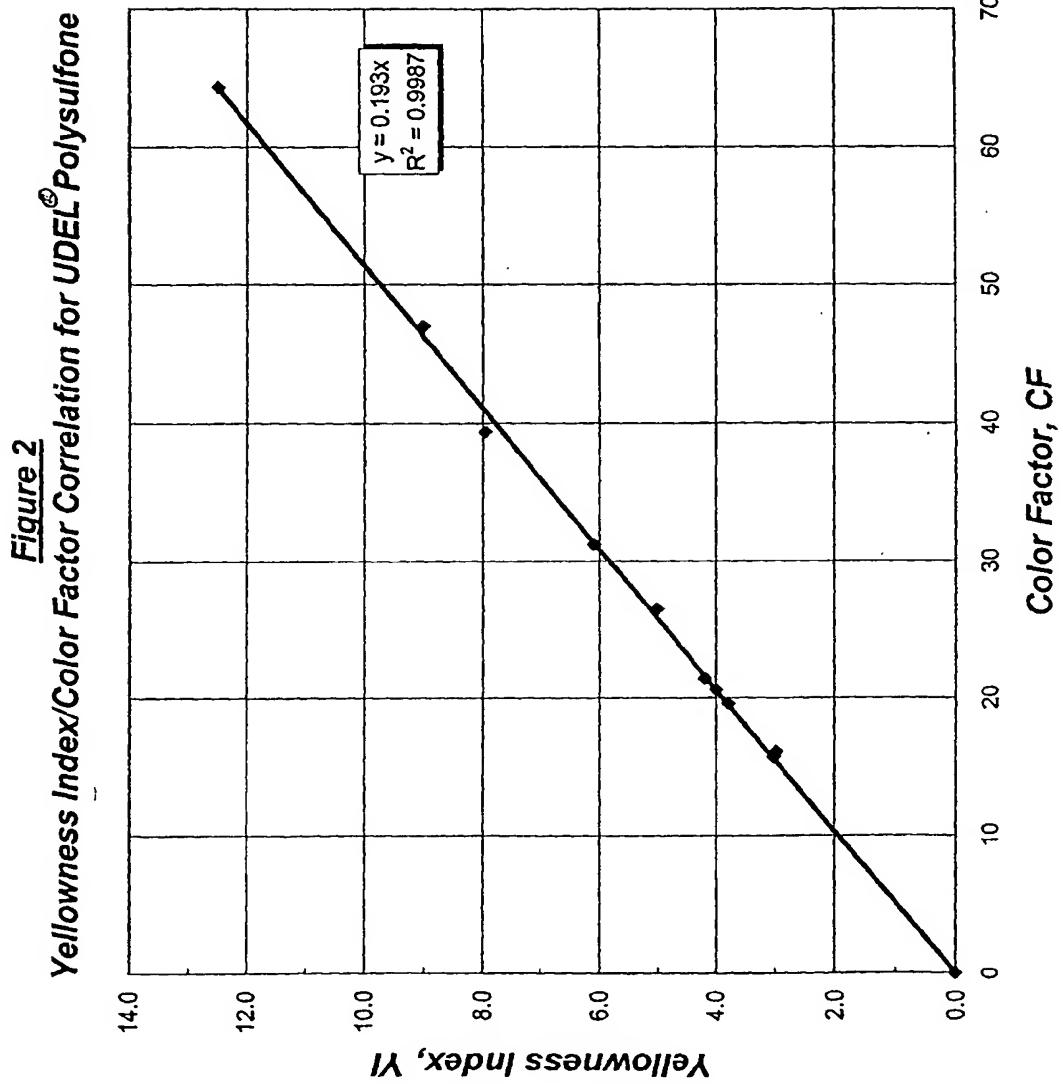
25. The transparent, molded lens according to claim 24, wherein the lens is an ophthalmic lens.

26. A transparent layer of polysulfone having a yellowness index of less than 1.00.

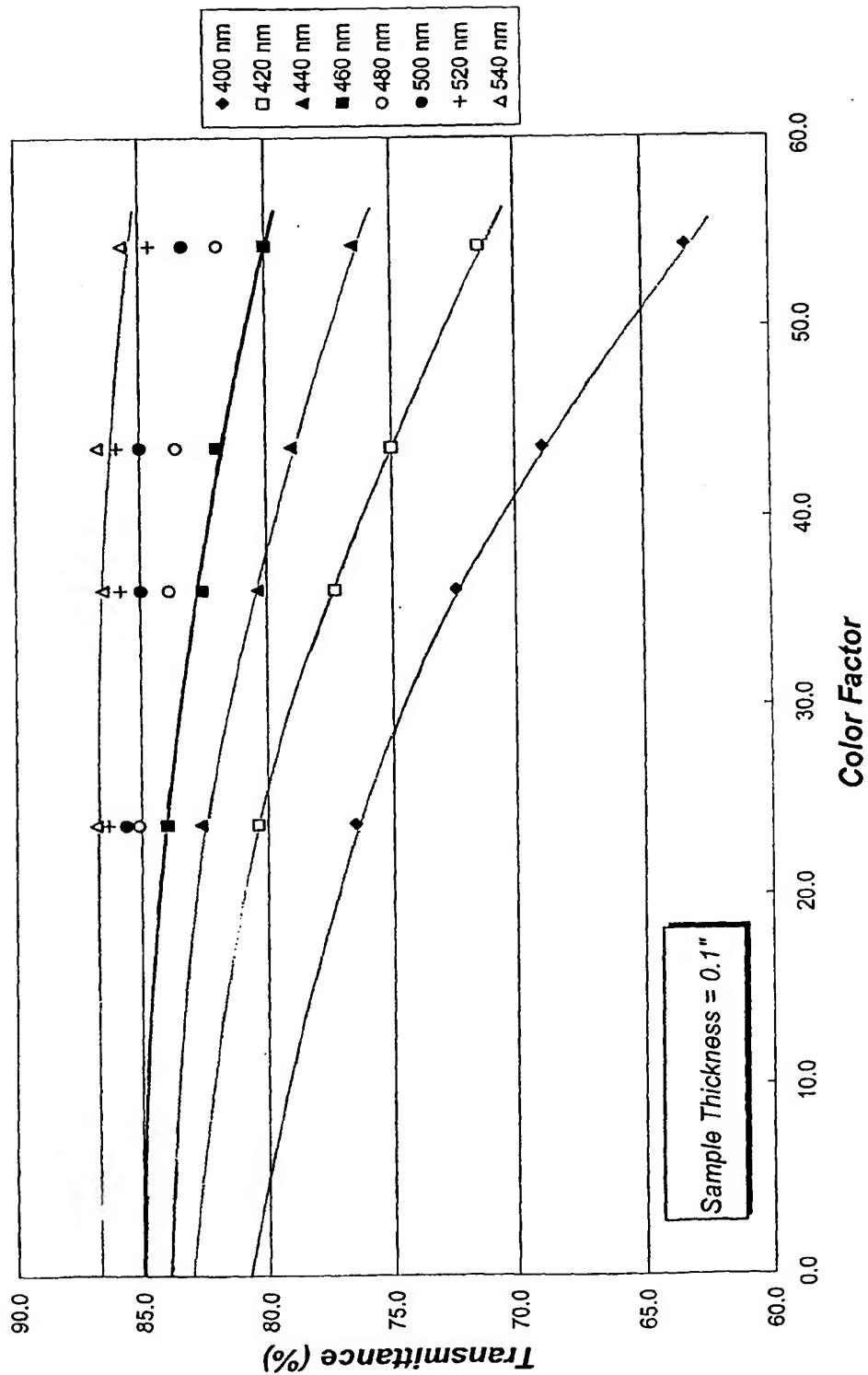
27. A transparent layer of polysulfone having a color factor of less than 5.

**Figure 1**  
**Color Development of Lab-Made Low-Color UDEL® Polysulfone under Exposure**  
**at 300 deg. C.**

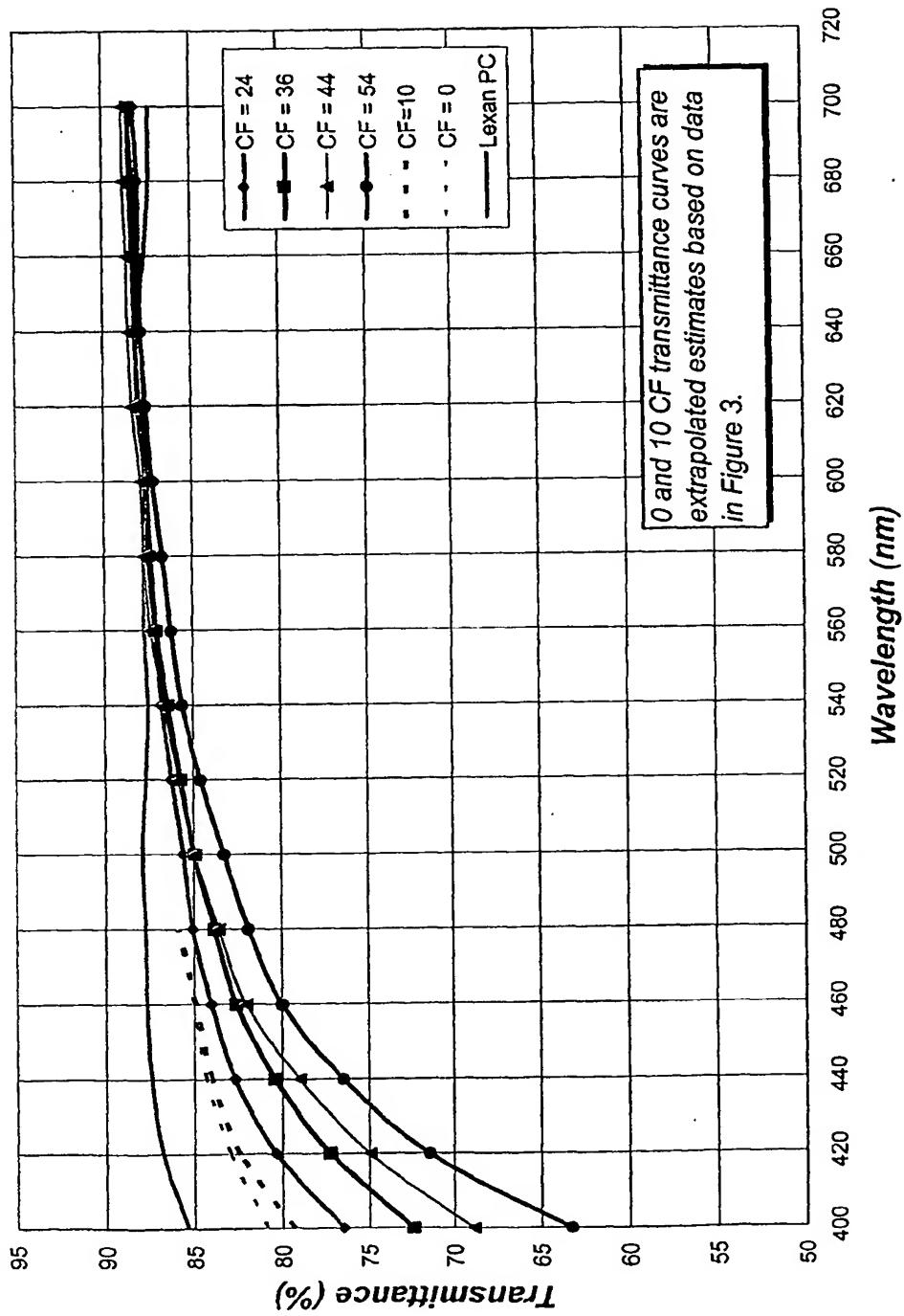




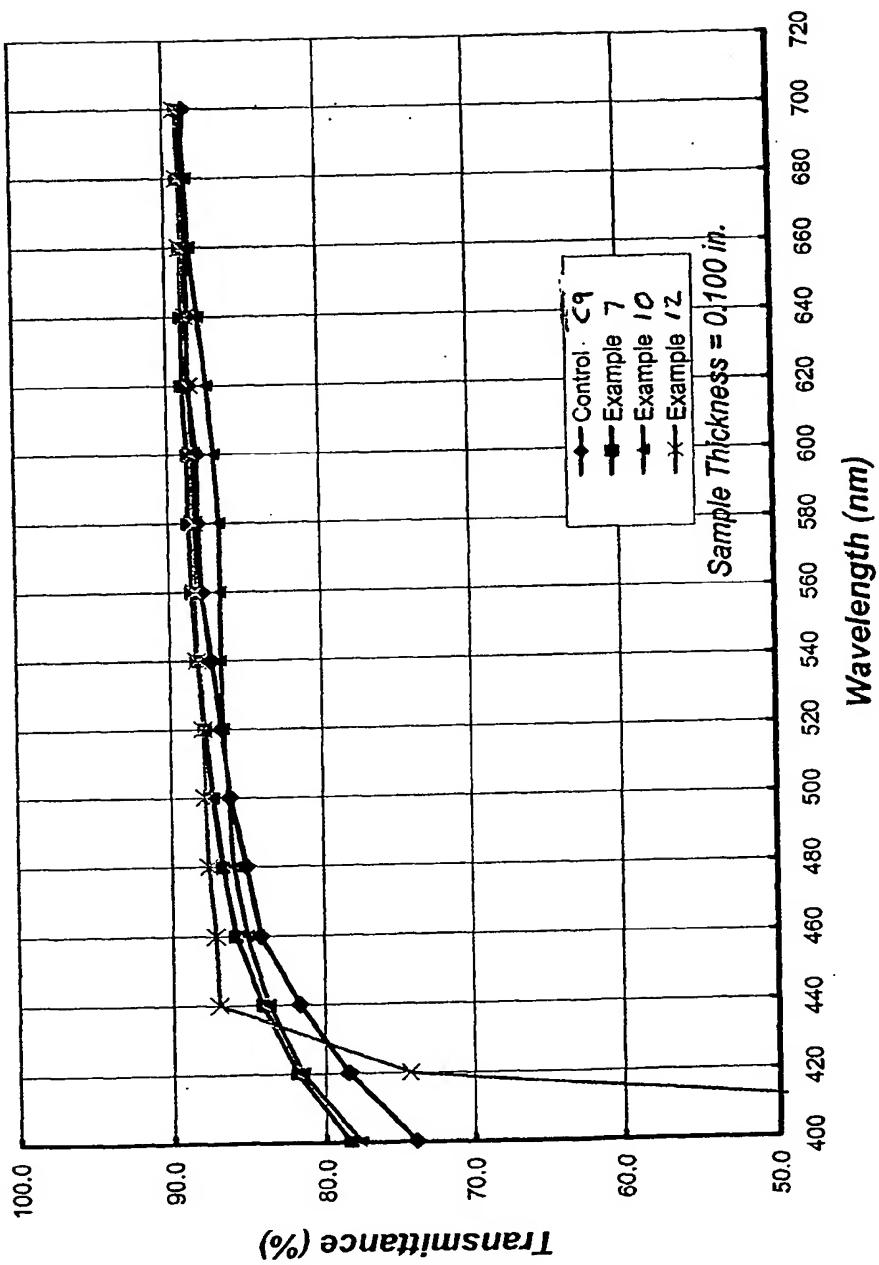
**Figure 3**  
**Dependence of UDEL® P-3703 NT Polysulfone Transmittance on**  
**Resin Color Factor in 400-540 nm Wavelength Range**



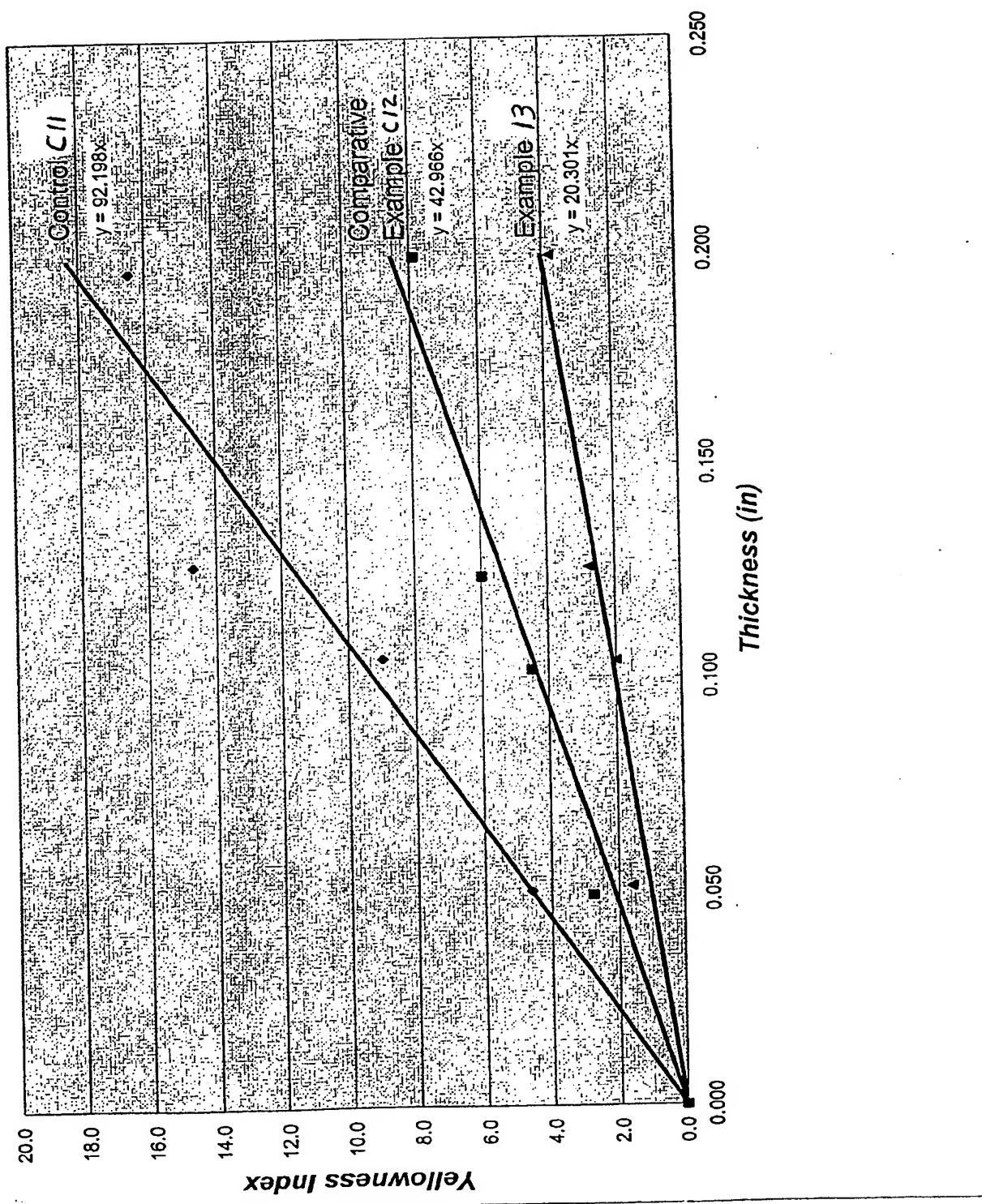
**Figure 4**  
**UDEL<sup>®</sup> Polysulfone Visible Light Transmittance versus Wavelength for Various Color Factors Compared with LEXAN<sup>®</sup> 104 Polycarbonate.**



**Figure 5**  
**Visible Transmittance Spectra of Low Color Polysulfone with Various Color Stabilization Options.**



**Figure 6**  
**Dependence of Yellowness Index on Thickness**



## INTERNATIONAL SEARCH REPORT

Inter | Application No  
PCT/US 03/11501A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C08L81/06 C08K5/51 C08K5/00 G02B1/04 C08J5/18

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 C08L C08K G02B C08J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category <sup>o</sup>	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DATABASE WPI Section Ch, Week 199916 Derwent Publications Ltd., London, GB; Class A26, AN 1999-186388 XP002247275 -& JP 11 035705 A (SUMITOMO CHEM CO LTD), 9 February 1999 (1999-02-09) abstract	1-10, 26, 27
X	US 3 755 256 A (BEVERLY G) 28 August 1973 (1973-08-28) column 2, line 43 example 4 claims	1-22

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

° Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&amp;\* document member of the same patent family

Date of the actual completion of the International search

Date of mailing of the International search report

11 July 2003

06/08/2003

Name and mailing address of the ISA  
European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Russell, G

## INTERNATIONAL SEARCH REPORT

Internat'l Application No  
PCT/US 03/11501

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 438 086 A (STEVENSON DONALD R ET AL) 1 August 1995 (1995-08-01) column 3, line 58 column 23, line 46 ---	1-16
X	US 2001/053805 A1 (COFFIN-WERNER TRACY L ET AL) 20 December 2001 (2001-12-20) paragraph '0066! claims 1,6 ---	1-16
A	US 2002/010307 A1 (SCHWAB THOMAS H) 24 January 2002 (2002-01-24) the whole document ---	1-27
A	US 4 409 351 A (LEE GARLAND G) 11 October 1983 (1983-10-11) example 5 claims 1,2 ---	1-4
X	---	5,8-22
P,X	US 6 420 514 B1 (HAGE MARTIN L ET AL) 16 July 2002 (2002-07-16) claims ---	5,11,17, 20,26,27
P,X	PATENT ABSTRACTS OF JAPAN vol. 2003, no. 04, 2 April 2003 (2003-04-02) -& JP 2002 357813 A (SUMITOMO CHEM CO LTD), 13 December 2002 (2002-12-13) abstract -----	5,11,17, 20,26,27

**INTERNATIONAL SEARCH REPORT**Int'l application No.  
PCT/US 03/11501**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.: 5-27 (partially) because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:  
see FURTHER INFORMATION sheet PCT/ISA/210
  
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this International application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest** The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 5-27 (partially)

Present claims 5 to 22 relate to a products defined by reference to desirable characteristics or properties, namely light transmittance, yellowness index, or color factor (claims 5-16), yellowness index (claims 17-19, 23-25, 26), and color factor (claims 20-25, 27).

The claims cover all products having these properties, whereas the application provides support within the meaning of Article 6 PCT and disclosure within the meaning of Article 5 PCT for only a very limited number of such products. In the present case, the claims so lack support, and the application so lacks disclosure, that a meaningful search over the whole of the claimed scope is impossible. Independent of the above reasoning, the claims also lack clarity (Article 6 PCT). An attempt is made to define the products by reference to a result to be achieved, so-called desideratum claims. There are no essential technical features present in said claims to allow the skilled person to attain said desired results, with the result that the claims only amount to claiming the underlying technical problem of the specification. Again, this lack of clarity in the present case is such as to render a meaningful search over the whole of the claimed scope impossible.

According to the teaching of the application, these desired parameters are achieved by polysulfone compositions comprising an organic phosphorus compound and one of an organic brightener and a blue to violet dye (see also claims 1, 2).

Furthermore, current claims 5 to 22 relate to a products defined (inter alia) by reference to the following parameters:

P1: total luminous light transmittance (ASTM D-1003) of a 0.1 inch thick sample

P2: yellowness index (ASTM D-1925) on a 0.1 inch thick sample

P3: color factor defined by a particular formula.

The use of these parameters in the present context is considered to lead to a lack of clarity within the meaning of Article 6 PCT. While said parameters can be determined adequately by procedures and ASTM methods provided in the application, in general parameters are only allowed to define a product when the product cannot be defined adequately in another way (EPO Guidelines C-III, 4.7a). In this case, it seems possible to adequately define the claimed products by reference to the composition from which they are prepared.

Additionally, parameters refer to values at a particular thickness which may not be explicitly disclosed for such polysulfone compositions in the prior art. Prior disclosures may not even disclose such parameters.

Hence, it is impossible to compare the parameters the applicant has chosen to employ with what is set out in the prior art (EPO Guidelines C-IV 7.5).

Consequently, the search has been carried out for those parts of the claims which appear to be clear, supported and disclosed, namely those parts relating to the polysulfone compositions/products comprising polysulfone resin, an organic phosphorus compound, and one of an organic optical brightener and a blue to violet dye.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

Therefore, claims 5 to 27 could only be searched partially.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

Intern: Application No

PCT/US 03/11501

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
JP 11035705	A	09-02-1999	NONE		
US 3755256	A	28-08-1973	GB	1365503 A	04-09-1974
			BE	780545 A1	11-09-1972
			DE	2211807 A1	30-11-1972
			FR	2128685 A5	20-10-1972
			JP	57000337 B	06-01-1982
			NL	7202682 A ,B,	12-09-1972
US 5438086	A	01-08-1995	US	5364895 A	15-11-1994
			AU	7015294 A	22-03-1995
			CN	1137798 A ,B	11-12-1996
			WO	9506651 A1	09-03-1995
US 2001053805	A1	20-12-2001	CA	2387784 A1	10-05-2001
			EP	1240245 A1	18-09-2002
			WO	0132765 A1	10-05-2001
			US	2003096890 A1	22-05-2003
US 2002010307	A1	24-01-2002	AU	4182401 A	17-09-2001
			CA	2401403 A1	13-09-2001
			EP	1272547 A2	08-01-2003
			WO	0166620 A2	13-09-2001
US 4409351	A	11-10-1983	CA	1181882 A1	29-01-1985
			DE	3221704 A1	03-03-1983
			JP	58017131 A	01-02-1983
			NL	8202295 A	03-01-1983
			US	4408000 A	04-10-1983
US 6420514	B1	16-07-2002	NONE		
JP 2002357813	A	13-12-2002	NONE		